

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- **BLACK BORDERS**

- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

(19)



Europäisches Patentamt

European Patent Office

Office européen des brevets

THE BRITISH LIBRARY
SCIENCE REFERENCE AND INFORMATION SERVICE

(11) Publication number:

0 224 287**A1**

(12)

EUROPEAN PATENT APPLICATION(21) Application number: **86201878.5**(22) Date of filing: **28.10.86**(51) Int. Cl.⁴: **C 12 N 15/00****A 01 H 1/00, C 12 N 5/00****C 12 N 1/20, C 12 P 21/02****/(C12N1/20, C12R1:41),****(C12N1/20, C12R1:01)**(30) Priority: **29.10.85 NL 8502948**(43) Date of publication of application:
03.06.87 Bulletin 87/23(84) Designated Contracting States:
AT BE CH DE FR GB IT LI LU NL SE(71) Applicant: **Rijksuniversiteit Leiden**
Rapenburg 73
Leiden(NL)(72) Inventor: **Schilperoort, Robbert Adriaan**
Antonie Duycklaan 10c
NL-2334 CD Leiden(NL)(72) Inventor: **Hooykaas, Paul Jan Jacob**
Floris Versterlaan 12
NL-2343 RS Oegstgeest(NL)(72) Inventor: **Hoekema, Andreas**
141 Sanfrancisco St. App. 3
CA 94005 Brisbane(US)(72) Inventor: **van Veen, Ronald Jacques Maria**
Koestraat 15a
NL-2312 XH Leiden(NL)(72) Inventor: **den Dulk-Ras, Harmke**
Gerard Brandstraat 24
NL-2332 AL Leiden(NL)(74) Representative: **van der Saag, Johannes et al.**
OCTROOIBUREAU VRISENDORP & GAADE P.O. Box 266
NL-2501 AW The Hague(NL)(54) **A process for the incorporation of foreign DNA into the genome of dicotyledonous plants.**

(57) A process is disclosed for the incorporation of foreign DNA into the genome of dicotyledonous plants comprising infecting these plants or incubating dicotyledonous plant protoplasts with bacteria suitable or made suitable for that purpose, which are provided with one or more tumour-inducing plasmids or derivatives therefrom, originally originating from *Agrobacterium*, or from bacteria which contain the T-DNA originating from the above-meant plasmids, and/or the virulence genes originating from the above-mentioned plasmids, incorporated elsewhere in the bacterial DNA.

-1-

CLAIMS

1. A process for the incorporation of foreign DNA into the genome of plants, by infecting these plants or explants from them, or incubating the plant protoplasts or cells with bacteria suitable or made suitable for that purpose,
5 characterized in that dicotyledonous plants are infected or dicotyledonous plant protoplasts are incubated with bacteria suitable or made suitable for that purpose, which are provided with one or more tumour-inducing plasmids or derivatives therefrom, originally originating
10 from Agrobacterium, or from bacteria which contain the T-DNA originating from the above-meant plasmids, and/or the virulence genes originating from the above-mentioned plasmids, incorporated elsewhere in the bacterial DNA.
- 15 2. A process according to claim 1, characterized in that for the infection or incubation use is made of Rhizobium bacteria or Phyllobacterium bacteria.
3. A process according to claim 1 or 2, characterized in
20 that bacteria are applied which are provided with one or more Ti- or Ri-plasmids or derivatives therefrom.
4. A process according to claim 3, characterized in that

-2-

the bacteria used have been provided with a stable cointegrate plasmid, constructed from a plasmid R772 and a plasmid pTiB6 with foreign DNA incorporated in the T-region of the latter.

5

5. A process according to any of the preceding claims, characterized in that bacteria are used, which contain at least one plasmid, which has the Vir-region of a tumour-inducing plasmid but no T-region, and at least one
10 other plasmid, which has a T-region with incorporated therein foreign DNA but no Vir-region.

6. Dicotyledonous plants and plant cells obtained after, applying the process according to any of the preceding
15 claims, the generic properties of the original plants or plant cells have been changed.

7. A process for the preparation of chemical and/or pharmaceutical products, characterized in that cells
20 obtained with application of the process according to any of the claims 1-5 are cultivated and the desirable substance is isolated.

8. A process according to claim 7, characterized in that
25 culturing is effected by means of fermentation and if useful subsequent immobilisation.

9. A process according to any of the claims 1-5 incl. or 8, characterized in that the regulator regions positions
30 before and behind the protein coding regions of T-DNA genes, in particular the genes for octopine synthesis for expressing foreign genes in dicotyledonous plant

-3-

cells are used.

10. Dicotyledonous DNA having a portion artificially inserted in it with the process according to any of the 5 preceding claims.

11. Cell lines and regenerated plants obtained after application of the process according to any of the claims 1-9.

10

12. Rhizobium trifolii LPR 5087 and mutants thereof.

13. Phyllobacterium LAZ100 and mutants thereof.

EUROPEAN PATENT APPLICATION

Application number: 87302367.5

Int. Cl. 4: **C12N 15/00** , **A01H 1/00** ,
C12N 5/00

Date of filing: 19.03.87

Priority: 28.03.86 US 845676
17.10.86 US 920574

Date of publication of application:
07.10.87 Bulletin 87/41

Designated Contracting States:
AT BE CH DE ES FR GB GR IT LI LU NL SE

Date of deferred publication of the search report:
03.05.89 Bulletin 89/18

Applicant: **CALGENE, INC.**
1910 Fifth Street Suite F.
Davis California 95616(US)

Inventor: **Shewmaker, Christine K.**
1501 Cypress Lane
Davis, California 95616(US)
Inventor: **Kridl, Jean C.**
538 Reed Drive
Davis, California 95616(US)
Inventor: **Hiatt, William R.**
2760 Blackburn
Davis, California 95616(US)
Inventor: **Knauf, Vic**
2454 Elendill Lane
Davis, California 95616(US)

Representative: **Harrison, David Christopher**
et al
MEWBURN ELLIS & CO 2/3 Cursitor Street
London EC4A 1BQ(GB)

Anti-sense regulation of gene expression in plant cells.

Regulation of expression of genes encoded for in plant cell genomes is achieved by integration of a gene under the transcriptional control of a promoter which is functional in the host and in which the transcribed strand of DNA is complementary to the strand of DNA that is transcribed from the endogenous gene(s) one wishes to regulate. The integrated gene, referred to as anti-sense, provides an RNA sequence capable of binding to naturally existing RNAs, exemplified by polygalacturonase, and inhibiting their expression, where the anti-sense sequence may bind to the coding, non-coding, or both, portions of the RNA. The anti-sense construction may be introduced into the plant cells in a variety of ways and be integrated into the plant genome for inducible or constitutive transcription of the anti-sense sequence. A wide variety of plant cell properties may be modified by employing this technique.

EP 0 240 208 A3



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number

EP 87 30 2367

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.4)
O,X	CHEMICAL ABSTRACTS, vol. 107, 1987, page 388, no. 74243f, Columbus, Ohio, US; D. GRIERSON et al.: "Expression and function of ripening genes", & PLANT BIOL. 1987, 4(TOMATO BIOTECHNOL.), 309-23 * Abstract *	11	C 12 N 15/00 A 01 H 1/00 C 12 N 5/00
O,Y	IDEM ---	4,5	
X	CHEMICAL ABSTRACTS, vol. 103, 1985, page 211, no. 155198q, Columbus, Ohio, US; P.E. MANSSON et al.: "Characterization of fruit-specific cDNAs from tomato", & MOL. GEN. GENET. 1985, 200(3), 356-61 * Abstract *	11	
Y	IDEM ---	4,5	
X	NATURE, vol. 315, 13th June 1985, pages 601-603; J. COLEMAN et al.: "A novel immune system against bacteriophage infection using complementary RNA (micRNA)" * Page 603, right-hand column, lines 5-12 *	1-3,6-10	TECHNICAL FIELDS SEARCHED (Int. Cl.4) C 12 N A 01 H
Y	IDEM ---	4,5	
Y	CHEMICAL ABSTRACTS, vol. 101, 1984, page 416, no. 127096v, Columbus, Ohio, US; G.E. HOBSON et al.: "The inhibition of tomato fruit ripening by silver", & J. PLANT PHYSIOL. 1984, 116(1), 21-9 * Abstract * --- -/-	1-10	
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 20-01-1989	Examiner MADDOX A.D.
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

(1000) 2719 0251 P/0010



DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 4)
X	BIO/TECHNOLOGY, June 1984, pages 520-527, Ciba-Geigy Corp., US; G. HELMER et al.: "A new chimeric gene as a marker for plant transformation: the expression of Escherichia Coli Beta-galactosidase in sunflower and tobacco cells" * Page 521, left-hand column, last paragraph - page 522, left-hand column *	6-9	
X	--- EP-A-0 159 779 (AGRIGENETICS) * Page 49, lines 1-9 *	6,8,9	
Y	---	7	
X	PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE USA, vol. 83, no. 15, August 1986, pages 5372-5376, Washington, US; J.R. ECKER et al.: "Inhibition of gene expression in plant cells by expression of antisense RNA" * Whole article *	6,7	
A	IDEM	1-5,8-10	TECHNICAL FIELDS SEARCHED (Int. Cl. 4)
Y	J. CELL BIOCHEM., vol. 0, no. 10, part C, 1986, page 41, no. J108; L.S. LOESCH-FRIES et al.: "Cloning of alfalfa mosaic virus coat protein gene and anti-sense RNA into a binary vector and their expression in transformed tobacco tissue" * Abstract *	7	
		-/-	
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 20-01-1989	Examiner MADDOX A.D.
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document			
T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons * : member of the same patent family, corresponding document			



European Patent
Office

EUROPEAN SEARCH REPORT

Page 3

Application Number

EP 87 30 2367

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 4)
A	IDEM	1-6,8-10	
O,A	<p>--- CHEMICAL ABSTRACTS, vol. 109, 1988, page 400, no. 125987c, Columbus, Ohio, US; P.H. MORGENS et al.: "Searching for molecular mechanisms involved in fruit ripening", & UCLA SYMP. MOL. CELL. BIOL. NEW SER. 1987, 44(MOL. BIOL. PLANT GROWTH CONTROL), 157-66 * Abstract *</p> <p>---</p>	1,4,11	
A	<p>SCIENCE, vol. 229, 26th July 1985, pages 345-352; J.G. IZANT et al.: "Constitutive and conditional suppression of exogenous and endogenous genes by anti-sense RNA" * Whole article *</p> <p>---</p>	1-3,4	
A	<p>CHEMICAL ABSTRACTS, vol. 104, 1986, page 159, no. 15979r, Columbus, Ohio, US; A. SLATER et al.: "Isolation and characterization of cDNA clones for tomato polygalacturonase and other ripening-related proteins", & PLANT MOL. BIOL. 1985, 5(3), 137-147 * Abstract *</p> <p>---</p>	4,5	
A	<p>CHEMICAL ABSTRACTS, vol. 97, 1982, page 456, no. 88858x, Columbus, Ohio, US; R. PRESSEY et al.: "Pectic enzymes in 'Long Keeper' tomatoes", & HORTSCIENCE 1982, 17(3, Sect. 1), 398-400 * Abstract *</p> <p>---</p>	4,5	
E	<p>EP-A-0 223 399 (AGRACETUS) * Whole document *</p> <p>---</p>	1-3,5,6-10	<p>TECHNICAL FIELDS SEARCHED (Int. Cl. 4)</p>
<p>The present search report has been drawn up for all claims</p>			
Place of search		Date of completion of the search	Examiner
THE HAGUE		20-01-1989	MADDOX A.D.
<p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons</p> <p>----- & : member of the same patent family, corresponding document</p>			

EPO FORM 1503 (12/87) (P.0401)



European Patent
Office

EUROPEAN SEARCH REPORT

Page 4

Application Number

EP 87 30 2367

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 4)
E	EP-A-0 223 452 (MONSANTO) * Page 15; lines 13-33; example 9; page 17, lines 1-5 *	1-3,5,6-10	
P,X	WO-A-8 605 516 (DUKE UNIVERSITY) * Page 2, lines 10-22; page 11, lines 8-20; page 27, line 18 - page 28, line 2; claim 3 *	1-3,5-10	
E	EP-A-0 240 332 (LUBRIZOL) * Whole document *	1-3,6-10	
E	WO-A-8 801 645 (MACQUARIE UNIVERSITY) * Claims *	1-3,6-10	
E	EP-A-0 271 988 (ICI PLC) * Whole document *	1-11	
			TECHNICAL FIELDS SEARCHED (Int. Cl. 4)
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 20-01-1989	Examiner MADDOX A.D.
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

EPO FORM 1501 01.82 (7/86)